



Basis of individual question marking

| OUTCOME | MARKING | OTHER REQUIREMENTS |
|--|---------|---|
| Evidence which confirms full compliance has been provided | YES | |
| There is evidence of limited compliance or positive progress | PARTIAL | Notes specifying the reason for the marking |
| There is no evidence of compliance | NO | Notes specifying the reason for the marking |

Questions marked NO or PARTIAL should be cross-checked against the Traffic Light System markings for the workplace to identify differences of perspective and to assist in providing guidance towards achievement of future compliance.

Basis of section assessment

| OUTCOME | MARKING | OTHER REQUIREMENTS |
|--|---------------|---|
| All responses for the section questions are YES | COMPLIANT | Optional further recommendation |
| Responses include some PARTIAL but otherwise YES | OBSERVATION | Corrective action by specified date + comment |
| Any response in the section is NO | NON-COMPLIANT | Corrective action by specified date + comment |

The term 'worker' used in these audit questions refers to all staff, students, visitors and contractors unless a specific group is identified. Processes and procedures used for activities should be those prescribed for use by UWA Safety and Health but in many cases fully compliant alternatives may be in use and should be modified accordingly upon review.

| | | |
|--|-----------------------|-------------|
| Marking protocol for AS/NZS 4801 audit and supplementary topic evaluations | Published: March 2012 | Version 1.0 |
| Authorised by UWA Safety and Health | Review: March 2017 | Page 1 of 1 |
| This document is uncontrolled when printed - the current version is on the Safety and Health website | | |